10/578037 IAP12 Rec'd PCT/PTO 02 MAY 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 16698.11.18

David Dillon

Application No.:

(NEW)

(based on International Application No. PCT/US2004/036751, filed 11/03/2004)

Filed:

(CONCURRENTLY HEREWITH)

For:

**AUTHENTICATION AND TRACKING SYSTEM** 

#### INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with the duty of disclosure, a completed Form PTO-1449 is enclosed.

Consideration of each of the listed references by the Examiner is respectfully requested.

Applicant also respectfully requests that an initialed copy of Form PTO-1449 indicating such consideration be returned with the next Official Action, as set forth in MPEP 609.

Copies of all references can be found in applicant's parent application No. 10/701,377. No additional copies of the references are enclosed herewith.

This information is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

By submitting these references, applicant does not admit that the references are prior art to or material to this application, and reserves the right to establish that any reference is not prior art. Applicant does not represent that the references have been reviewed in detail; there may be details in the references of which applicant is unaware.

## **10/578037**IAP12 Rec'd PCT/PTO 02 MAY 2006

The Commissioner is hereby authorized to charge any filing fees required to Deposit

Account No. 061910. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

John S. Parzych

Registration No. 52,097

Customer No. 22859 Fredrikson & Byron, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA

Telephone: (612) 492-7000 Facsimile: (612) 492-7077

The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 06-1910.

#### CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 563872904 US Date of Deposit: My 2, 2006. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop PCT, PO Box 1450, Alexandria, VA 22313-1450.

Deborah L. Maday

Deborah L. Maday

Maday

Name of Person Making Deposit

Signature

### IAP12 Rec'd PCT/PTO 02 MAY 2006

#### FORM PTO-1449 MODIFIED

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

APPLICATION NO.: (NEW) /5 78 037 FILING DATE: (CONCURRENTLY HEREWITH)

FIRST NAMED INVENTOR: David Dillon

GROUP ART UNIT:

ATTORNEY DOCKET NO.: 16698.11.18

No.   Number-Kind Code   DD-MM-YYYY   of Cited Document   Relevant Passages or Relevant Figures Appear				U.S. 1	PATEN	T DOCUN	MENTS			
2004/0034579   02/19/2004   Xu, et al.	Examiner Initials					Name of of	Patentee or Applicant Cited Document	Relevant Passages or Rele		
6,226,619   05/01/2001   Halperin, et al.			6,442,276	08/27/2002			Doljack			
	-		2004/0034579	02/19/2004			Xu, et al.			
			6,226,619	05/01/2001			Halperin, et al.			
5,979,941   11/09/1999   Mosher, Jr., et al.			6,246,778	06/12/2001			Moore			
5,895,073   04/20/1999   Moore			6,069,955	05/30/2000		Co	oppersmith, et al.			
5,762,377   06/09/1998   Chamberlain     5,360,628   11/01/1994   Butland     5,249,044   09/28/1993   Von Kohom     5,160,171   11/03/1992   Gregory, et al.     5,788,669   08/04/1998   Peterson     5,283,422   02/01/1994   Storch, et al.     2003/141358   07/31/2003   Hudson     FOREIGN PATENT DOCUMENTS			5,979,941	11/09/1999		Mosher, Jr., et al.				
5,360,628   11/01/1994   Butland     5,249,044   09/28/1993   Von Kohorn     5,160,171   11/03/1992   Gregory, et al.     5,788,669   08/04/1998   Peterson     5,283,422   02/01/1994   Storch, et al.     2003/141358   07/31/2003   Hudson     FOREIGN PATENT DOCUMENTS			5,895,073	04/20/1999			Moore			
5,249,044   09/28/1993   Von Kohorn			5,762,377	11/01/1994			Chamberlain			
S,160,171   11/03/1992   Gregory, et al.     S,788,669   08/04/1998   Peterson     S,283,422   02/01/1994   Storch, et al.     2003/141358   07/31/2003   Hudson			5,360,628				Butland			
5,788,669 08/04/1998 Peterson  5,283,422 02/01/1994 Storch, et al.  2003/141358 07/31/2003 Hudson  FOREIGN PATENT DOCUMENTS  taminer No.¹ Courty Code³-Number⁴-Kind Code⁵ (if known)  NoN-PATENT LITERATURE DOCUMENTS  NON-PATENT LITERATURE DOCUMENTS  Examiner Initials  NON-PATENT LITERATURE DOCUMENTS  SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002-05-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection - Global Services - De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www.delarue.com/global/bps/technology/portfolio/track/track.asp			5,249,044				Von Kohorn			
S,283,422   02/01/1994   Storch, et al.			5,160,171	11/03/1992			Gregory, et al.			
FOREIGN PATENT DOCUMENTS  taminer No.¹ Cite No.¹ Code⁵ (if known)  NON-PATENT LITERATURE DOCUMENTS    Non-Patent Literature   Non-Patent Literature			5,788,669	08/04/1998		Peterson				
FOREIGN PATENT DOCUMENTS  taminer nitials No.¹ Cite No.¹ Country Code³-Number⁴-Kind Code⁵ (if known)  NON-PATENT LITERATURE DOCUMENTS    Non-Patent Literature Document Date Document Country Code⁵ (if known)    Non-Patent Literature Document Document Date Document Document Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear    Non-Patent Literature Document Document Document Document Document Pages (if known)			5,283,422	02/01/1994			Storch, et al.			
Cite No. 1 Country Code No. 1 Country Code No. 1 Code (if known)  No. 1 Country Code No. 1 Code (if known)  Name of Patentee or Applicant of Cited DD-MM-YYYY  Name of Patentee or Applicant of Cited DD-MM-YYYY  Nocument  No. 1 Code (if known)  NON-PATENT LITERATURE DOCUMENTS  Examiner Initials  No. 1 SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002-Initials No. 1 O5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection - Global Services - De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp		<del></del>	2003/141358	07/31/2003			Hudson			
No.¹ Country Code³-Number⁴-Kind DD-MM-YYYY Applicant of Cited DD-MM-YYYY Applicant of Cited Document Passages or Relevant Figures Appear  No.¹ Code⁵ (if known) Document Passages or Relevant Figures Appear  NON-PATENT LITERATURE DOCUMENTS  Examiner Initials No.¹ SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002-Initials No.¹ O5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp				FOREIG	N PAT	ENT DOO	CUMENTS			
Examiner Initials  Cite No.¹  SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002- To 5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp	Examiner Initials		Country Code <sup>3</sup> -Nu			Applicant of Cited	Where Relevant Passages or		T <sup>6</sup>	
Examiner Initials  Cite No.¹  SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002- To 5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp									+	片
Examiner Initials  Cite No.¹  SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002- To 5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp									+	H
Examiner Initials  Cite No.¹  SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002- To 5-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp										
Initials No. 05-02] retrieved from the internet http://www.snapinc.com/Pages/faq.html  Brand Protection - Global Services - De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp			ľ	NON-PATE	NT LIT	ERATUR	E DOCUMENTS			
internet http://www/delarue.com/global/bps/technology/portfolio/track/track.asp								Serial Numbers? [retrieved on 2002-		7
			Brand Protection - Gl	lobal Services – De	e La Rue [or	nline], Track and	d Trace/SCANet [retrieved or	n 2002-06-12] retrieved from the		$\dagger E$
			•	•	•	<b>.</b>	•	t www.sphinx-system.com/menu.htm	m	+
									_	-
U.S. PATENT DOCUMENTS  (aminer Cite Document No. Date Name of Patentee or Applicant Pages, Columns, Lines, Where	Examiner	Cite	Document No.					Pages, Columns, Lines, W	her	e
DATE CONSIDERED										

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

### IAP12 Rec'd PCT/PTO 02 MAY 2006

FORM PTO-1449 MODIFIED

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

APPLICATION NO.: (NEW) 0 /5 78 0 37 FILING DATE: (CONCURRENTLY HEREWITH)

FIRST NAMED INVENTOR: David Dillon

GROUP ART UNIT:

**ATTORNEY DOCKET NO.: 16698.11.18** 

Initials	No.1	Number-Kind Code <sup>2</sup>	DD-MM-Y	YYY	0	f Cited Document	Relevant Passages or Rele Figures Appear	Relevant Passages or Relevant Figures Appear			
	5,966,205 10/12/1999					Jung, et al.					
		6,131,718	10/17/2000	-		Witschorik					
		6,038,016	03/14/2000			Jung, et al.					
		6,039,249	03/21/2000			Szewczykowski					
*		5,974,150	10/26/1999			Kaish, et al.					
		6,119,946	09/19/2000			Teicher					
	5,517,336 05/14/1996 5,380,047 01/10/1995				Molee						
		5,267,756	12/07/1993			Molee, et al.		-			
			:								
						-					
	.I		FORE	GN PA	TENT D	OCUMENTS			I.e		
Examiner Initials											
								П			
								╀	$\dashv$		
<del></del>	+							╁			
		1	NON-PATE	NT LIT	TERATU	RE DOCUMENT	<u> </u>				
Examine Initials			echnology for toda om/assettrac/how.h	y and tomo	rrow [online]	[retrieved on 6/17/02] retrie	ved from the internet		T <sup>2</sup>		
Software and Media Copy Protection Backgrounder [online] Protected Media [retrieved on 4/24/02] retriev http://www.power-t.com/copy_protect.html						24/02] retrieved from the internet	ed from the internet				
		Tagged Asset Manage	Tagged Asset Management [online] Tag & Trace Sending Signals for Smarter Business [retrieved on 4/24/02] retrieved from the internet http://www.climarque.co.uk/passive/security.htm								
Heritage and Arts Company Profile [online] Biocode Ltd [retrieved on 2/27/04] retrieved from the internet http://www.bioscienceyork.org.uk/members/Company_Details.cfm?CompanyID=1999							n the internet				
<u> </u>			TIC D	ATENT	r nocu	MENTS					
Examiner	Cite	Document No.	Date	AIEN.	Name of I	Patentee or Applicant	Pages, Columns, Lines, Whe		-		
Initials	No. <sup>1</sup>	Number-Kind Code <sup>2</sup>	DD-MM-Y	Cited Document	Relevant Passages or Relevant F Appear	igure 	es				
	<del></del> _				_	DATE CONSIDI	ERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

# IAP12 Rec'd PCT/PTO 02 MAY, 2006 APPLICATION NO.: (NEWO / 5 78 0 37

### FORM PTO-1449 MODIFIED

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

FILING DATE: (CONCURRENTLY HEREWITH)

FIRST NAMED INVENTOR: David Dillon

**GROUP ART UNIT:** 

**ATTORNEY DOCKET NO.: 16698.11.18** 

		<del></del>			<del></del>			$\overline{}$
			-					
						-		
_			_					
		·						
				SN PA	ATENT DO	CUMENTS		
Examine Initials	r Ci No	o.1 Country Code <sup>3</sup> -Number	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Date D-MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
•								
	<u> </u>							H
	1	NO	)N-PATEN	T LI	TERATUR	E DOCUMENT	S	
Examine Initials		te Hitachi, Ltd., Solutions for February 2002.	r manufacturing,	distribut	tion, tracking, sec	ure ID management and me	ore; Power-Point presentation handout;	T <sup>2</sup>
	-	CODEL, Validation Refer	rence (VR)[online	[retrie	ved on 2/27/04] n	trieved from the internet		
	http://www.authenticl.com/al/howitworks/vr.htm  CODEL, The VR Tests [online] [retrieved on 2/27/04] retrieved form the internet http://www.authenticl.com/al/howitworks/vrtes							+
								ᆜᆛ
Examiner	Cite	Document No.						
Initials	No.1	Number-Kind Code <sup>2</sup>						
1								
						DATE CONSIDI	ERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. Applicant's unique citation designation

number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.